

Report to:	Audit and Governance Committee
Date:	29th September 2021
Title:	Strategic Risk Register Quarterly Review
Report of:	Chief Internal Auditor
Ward(s):	All
Purpose of report:	To report to Committee the outcomes of the quarterly review of the register by Corporate Management Team.
Officer recommendation(s):	To receive and note the update to the Strategic Risk Register
Reasons for recommendations:	The Council is committed to proper risk management and to regularly updating the committee with regard to the Strategic Risk Register.
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1 Introduction

- 1.1 The Strategic Risk Register is a high level document that records the key risks facing the council: those risks that would prevent the authority from achieving its overall strategies and objectives.
- 1.2 Maintaining the Strategic Risk Register is a vital part of the governance arrangements of the authority and, as such, it is overseen by the Corporate Management Team who review it on a quarterly basis.
- 1.3 The risk register shows the risk, a description of the risk, the risk score if no action is taken (original risk score), the internal controls put in place to mitigate the risk and the risk score after these controls are in place (current risk score).
- 1.4 The risk register is brought to the committee when any changes have been made to it following review by the Corporate Management Team.

2 Process

- 2.1 The Strategic Risk Register is the top level of the risk management process. Whilst the Strategic Risk Register is considered quarterly at the Corporate Management Team, this is a simply a review of the register itself.

- 2.2 There are many different risks and pieces of work that feed into the Strategic Risk Register. These are managed and monitored on a day to day basis within the relevant sections and departments.
- 2.3 The quarterly meeting of the Corporate Management Team to discuss the Strategic Risk Register allows the relevant Director/Assistant Director to provide feedback on risks in their area. This information is then used to consider changes that may need to be made to the Strategic Risk Register.
- 2.4 The Corporate Management Team will also carry out “horizon scanning” at these meetings: considering issues that are arising and considering whether they need to be included in the register.

3 September 2021 Review

- 3.1 The Strategic Risk Register was taken to Corporate Management Team on 1st September 2021 for the quarterly review.
- 3.2 Risks SR_009 – consideration was given to the risk of commercial enterprises not delivering financial expectations. In light of the current economic environment it was agreed to raise the likelihood from 3 (likely) to 4 (highly likely).
- 3.3 Risk SR_007 – there was a discussion to consider whether climate change should be specifically mentioned in the register. It was agreed that it should and that the risk sits under “Council materially impacted by the medium to long term effects of an event under the Civil Contingencies Act”. The following has therefore been added:

Description: Emergency caused by a climate change event.

Internal control: Adoption of, and action to implement, the council’s Climate Emergency Strategy.

- 3.4 SR_002 and SR_005 – Consideration was given to whether the risks around the current Recovery and Reset programme should be specifically mentioned in the register. It was agreed that these sit under two risks:
- Changes to the economic environment makes the council economically less sustainable
- and
- Not being able to sustain a culture that supports organisational objectives and future development.

Under both the following has been added:

Description: The council’s Recovery and Reset programme fails to meet its objectives.

Internal control: Regular monitoring of the progress and outcomes of the Recovery and Reset programme.

3.5 The changes can be seen on the attached Strategic Risk Register.

4. Financial appraisal

4.1 There are no financial implications arising from this report.

5. Legal implications

5.1 This report is for noting only and therefore the Legal Services team has not been consulted on the content of it.

6. Risk management implications

6.1 If the Council does not have an effective risk management framework that is subject to proper oversight by Councillors it will not be able to demonstrate that it has in place adequate means to safeguard Council assets and services, and it could be subject to criticism from the Council's external auditor or the public.

7. Equality analysis

7.1 An equalities impact assessment is not considered necessary because the report is for information only and involves no key decisions.

8. Appendices

- Strategic Risk Register

9. Background papers

None